1 Prevention of Deteriorative Damage of Post-Harvest Guava by the antimicrobial effect of						
2	a Combination of Low-Molecular-Weight (LMW) Chitosan and Nano-silicon Dioxide					
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14**Abstract**

15Guava is very easy decay postharvest due to disease and spoilage that are known mainly 16cause by microorganisms species during storage time. Therefore, fungal and bacterial species 17that may cause decay on postharvest guava was isolated and evaluated its the antimicrobial 18and antifungal ability by low-molecule-weight (LMW) chitosan in combination with nano-silicon 19dioxide (nano SiO₂) compound was carried out. The study successfully isolated four fungal 20species, namely *Chrysosporium tropicum*, *Cladosporium sphaerospermum*, *Aspergillus wentii*, 21*Colletotrichum acutatum* and three bacterial species, namely *Azotobacter* sp., *Escherichia coli*, 22*Bacillus subtilis*, which is most likely to cause decay on postharvest guava. It is found that a 23mixture of 0.04% nano SiO₂ and 1% LMW chitosan 44.5 kDa are capable confront 24microorganism tested with the highest antibacterial zone diameter and the lowest diameter of 25growing fungi. This compound used in storage guava to control disease and prolong shelf-life 26postharvest guava.

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28Keywords: Chitosan, nano-silicon dioxide, antimicroorganism ability, postharvest guava

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30Introduction

The guava (*Psidium guajava* L.) is one of the most popular tropical climacteric fruits and 32commonly eaten fresh, contains extremely nutritious as well as substances with natural 33biological activities (Pal *et al.*, 2004). In Vietnam, guava is grown popular in southern provinces, 34especially, Taiwan guava is most grown due to its high quality and productivity, sweet taste, 35crispy, and spongy. However, easy spoilage and short shelf-life are negative features of 36ripening guava, resulting in long-distance transportation (Hong *et al.*, 2012). It was reported that 37attacking by microorganisms caused spoilage including *Bacillus* sp., *Listeria* sp., 38*Staphylococcus* sp., *Vibrio* sp., *E.coli, Pseudomonas* sp., *Aeromonas* sp., *Colletotrichum* 39gloeosporioides.

40 Chitosan is a natural edible biopolymer, biodegradable, antimicrobial and antifungal 41(Rabea *et al.*, 2003; Kim *et al.*, 2006; Aider, 2010), antitumoural (Qin *et al.*, 2002), immune 42regulatory (Mei *et al.*, 2013), antidiabetic (Karadeniz and Kim, 2014) activities. Chitosan has 43been used as a coating material in many fields such as biotechnology, agricultural medicine, 44bio-medicine, pharmaceutical, chemical, food industries, and environmental protection (Shahidi 45and Synowiecki, 1991; Peniche-covas *et al.*, 1992; Yamada *et al.*, 1993; Felt *et al.*, 1998; Ravi 46Kumar, 2000; Drevinskas *et al.*, 2017; Wang *et al.*, 2017). Moreover, chitosan is also efficiently 47resistive to bacteria, fungi, filamentous fungi, and yeasts which are responsible for plant 48diseases and spoilage in various fruits and vegetables (Franklin and Snow, 1981; Kendra and 49Hadwiser, 1984; Hirano and Nagao, 1989; El Ghaouth *et al.*, 1992; Jeon *et al.*, 2001; Bautista50Baños *et al.*, 2013; Wang *et al.*, 2017), particular with *Rhizopus stolonifera* (Hernandez-51Lauzardo *et al.*, 2008), *Penicillium digitatum* (Chien *et al.*, 2007), *Nigrospora sphaerica*, and 52*Fusarium culmorum* (Xing *et al.*, 2016), *Colletotrichum musae* (Xiangchun *et al.*, 2012). Low-53molecular-weight (LMW) chitosan has been used to inhibit the growth of some positive and 54negative Gram bacteria (Jeon *et al.*, 2001), antifungal agent (Dutta *et al.*, 2012; Kulikov *et al.*, 552014), reducing of postharvest damage of fruits and vegetables (Benhamou, 1996; Mlikota 56Gabler and Smilanick, 2001; Romanazzi *et al.*, 2002; Liu *et al.*, 2007), fresh food storage (Aider, 572010) as restrict lipid peroxidation on salmon (Kim and Thomas, 2007), guava storage (Hong *et* 58*al.*, 2012; Krishna and Rao, 2014).

59 Nano-silicon dioxide (Nano SiO₂) has been widely used in water treatment, construction 60material, manure, and cosmetics. Recently, nano SiO₂ can be produced naturally by sol-gel 61methods with low cost and environment friendly (Zhang and Yang, 2008). Nano-SiO₂ can 62improve material properties (Lai et al., 2006; Yeh et al., 2007; Dhanasingh et al., 2011) and for 63the best properties is at 0.04% (Sun et al., 2016), especially, it in combination with chitosan to 64enhance chitosan activities applied in food storage (Yu et al., 2012). The combination of 65chitosan and nano SiO₂ is applying in extensive fields (Witoon et al., 2009). Thanks to the 66creation of hydro-associated with chitosan molecule, this hybrid compound enhanced 67permeability and mechanical properties (Yu et al., 2012; Shi et al., 2013), improved film 68 formation as well as a semipermeable coating for chitosan (Silva et al., 2011). This combination 69can also increase the anti-microorganism capacity of the film (Dhanasingh et al., 2011), and 70inhibit disease on agricultural products (Silva et al., 2011; Yan et al., 2011; Sun et al., 2016) 71such as Penicillium digitatum, Penicillium italicum, Botrydiplodia lecanidion, Botrytis cinerea. 72Nearly, 1% chitosan in combination with 0.04% nano SiO₂ compounds was applied in the fruit 73storage (Yan et al., 2011; Yu et al., 2012) for very well efficiency.

Even though excellent reported characteristics regarding the combination of chitosan 75and nano-SiO2, there is not any study conducted to find out detail impact of this mixture on the 76improvement of preservation for postharvest guava, especially anti-microorganisms capacity. 77Therefore, in this study, the impact of chitosan and nano-SiO₂ mixture to microorganisms 78causing spoilage postharvest guava was carried out with the purpose to find out the suitable 79concentration of chitosan and nano-SiO₂ for postharvest guava preservation that would broaden 80the fresh fruits consuming markets as well as facilitate abroad export.

81

82Materials and methods

83 Isolation of bacterial and fungal causing damage postharvest guava

Taiwan guava was grown in Cai Be district, Tien Giang province, harvested after fully 85blossomed 75 \pm 2 days, grouped as uniform size and form regardless of the sign of impact 86injury and disease. Then, they were transported to the laboratory, washed with distilled water. 87Each fruit was caused damaged by sterilized 11 blades on its skin and stored at room 88temperature until there was a sign of damage. These damaged positions were then removed 89from the fruits, left in 250 ml erlenmeyer flasks containing 40 ml sterilized distilled water. Then 90they are shaken in 20 mins and cell supernatant obtained after precipitaed. This solution was 91then diluted to concentration 10⁻¹ to 10⁻³. 0.1 ml diluted solution was spread on tryptic soy agar 92(TSA), potato–dextrose–agar (PDA) plates medium (Merck), and incubated in 8 days to obtain 93pure cultures. Then species of bacteria and fungi are sent to Bio-Techem Co., Ltd. to determine 94identify species.

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96Assay of in-vitro anti-microorganism activities of SiO₂ nano-particle and low-molecular-weights 97chitosan

98 Chitosan with LMW of 16, 44.5, 80, and 109 kDa, degree of deacetylation > 80% and 99nano SiO₂ with the size of 20-30 nm were purchased from the Center for Radiation Technology 100Research and Development Ho Chi Minh. 1% (w/v) each LMW chitosan sample was dissolved 101in 1% acetic acid (v/v) and then adjusted to 5.5 pH with continuous stirring to obtain a 102homogeneous chitosan solution. The solution was then added with 0.04% (w/v) nano $103SiO_2$, adjusted to 5.5 pH to obtain a uniform mixture. The mixture should be used within 1 hour to $104avoid SiO_2$ precipitation.

105 The experiment was designed to randomized complete containing 10 treatments with 106triplicate (5 plate petries per replication) follow by chitosan LMW 16; 44.5; 80 and 109 kDa (1%); 1070.04% nano SiO_2 alone and in the combination of each chitosan LMW 16; 44.5; 80 and 109 kDa 108(1%), and the control.

109 Antibacterial activity was assessed by disk diffusion method (Chand, 2013; Senguttuvan 110*et al.*, 2013). Tested bacteria were prepared to spread on TSA media. Sterilized impregnated 111papers (6 mm in diameter) were used to spread on the plates. Then 10 µl of tested solution 112dispense into the middle of impregnated papers, sterilized distilled water used as the negative 113control. Plates were incubated at 32°C in 24 hours. Aftermath, the diameter antibacterial zones 114were measured and determined by following formula: $\Delta D = D - d$ (mm). Where, D is the 115diameter of the antibacterial zone (mm), d is the diameter of sterile impregnated papers (mm).

116 Antifungal activity was assessed by by agar-well diffusion method (Chand, 2013). PDA 117media containing tested solution was prepared and then perforated with 6 mm diameter into in 118the middle of the plates containing pure growth of fungi tested, sterilized distilled water used as 119the negative control. Plates were incubated at 32°C in 7 days to observe and measure the 120growth rate of fungi. Diameter of formulated fungi was determined by following formula: $\Delta A = A -$ 121a (mm). Where, A is the diameter of formulated fungi (mm); a is the diameter perforated agar 122(mm).

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124 Statistical analysis

125 All data were analyzed by JMP 10.0 software (SAS Institute Inc., Cary, NC, USA). 126Significant differences between treatments were showed through Duncan test (p < 0.05). 128Results and discussion

129Isolation of bacterial and fungal causing damage postharvest guava

We are carried out isolation Taiwan guava after damage and determined four fungal 131species, namely *Chrysosporium tropicum* (*Ch. tropicum*), *Cladosporium sphaerospermum* (*C.* 132*sphaerospermum*), *Aspergillus wentii* (*A. wentii*), *Colletotrichum acutatum* (*C. acutatum*) and 133three bacterial species, namely *Azotobacter* sp., *Escherichia coli* (*E. coli*), *Bacillus subtilis* (*B.* 134*subtilis*) which causes damage postharvest guava.

135 Characteristic of fungal species was then described on PDA media, with regard to *Ch*. 136*tropicum* fungi has in form of fibril, flaked, slow growth, while color hyphae (Fig. 1a). *C*. 137*sphaerospermum* has in form of the fibril, grew dense, slow growth, on the top face of the fungi 138having deeper green color, under face having russet color, having wrinkle not smooth (Fig. 1b). 139*Aspergillus wentii* has in form of fibril, on the top face and bottom face of the fungi having light 140brown-yellow color (Fig. 1c), *C. acutatum* has in form of fibril, on the top face and bottom face of 141the fungi was while color (Fig. 1d) Characteristic of bacterial species was then described on 142TSA media: *Azotobacter* sp. colony has in form of circle, pale yellow, polished Viscid (Fig. 1e). 143*E. coli* colony has in form of circle, white opaque color, a polished and wetting surface on TSA 144media (Fig. 1f). *B. subtilis* colony has in form of circle, the edge of the crenated irregular pale 145yellow-brown color surface and lined on TSA media (Fig. 1g)

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147 Testing of antibacterial activity of nano SiO $_2$ and low molecule weight chitosan mixture in in-vitro

The outcome of the antibacterial ability of the LMW chitosan alone or in the combination 149of and nano SiO₂ on *E. coli*, *Azotobacter* sp., *B. subtilis* are shown in Table 1 and Fig. 2. All the 150treatments applied with nano-SiO₂ and LMW chitosan mixture result in the potential antibacteria 151of *E. coli* and *Azotobacter* sp., *B. subtilis*, and shown a significant difference to the control

152treatment (P < 0.05). nano SiO₂ and 44.5 kDa chitosan mixture exhibited the best effect with 153diameters of 12.86 \pm 0.55 mm; 11.19 \pm 0.56 mm; 7.86 \pm 0.05 mm, respectively (Table 1, entry 1546).

155 On the plates of *E. coli*, Entry 6 shown a significant difference with Entry 4 which treated 156with nano SiO₂ and 16 kDa chitosan mixture (11.61 \pm 1.09 mm). On the plates of *Azotobacter* 157sp., Entry 4 containing nano SiO₂ and 16 kDa chitosan mixture (9.81 \pm 0.24 mm) after standing 158Entry 6. On the plates *B. subtilis*, Entry 6 was not significant difference than Entry 5 containing 15944.5 kDa chitosan mixture (7.14 \pm 0.18 mm).

160 The results showed antibacterial ability of *B. subtilis* (the positive Gram bacteria) lower 161than *E. coli* and *Azotobacter* sp. (the negative Gram bacteria), and these are in agreement with 162the study of Jeon *et al.* (2001); No *et al.* (2002); Coma *et al.* (2003); Chung *et al.* (2004); Dutta 163*et al.* (2009), mentioned that antibacterial ability of negative Gram bacteria is higher than 164positive Gram bacteria due to its higher hydrophilicity and negative charge ability on the cell 165surface of Gram-negative bacteria higher, results in more chitosan adsorbed (Chung *et al.*, 1662004). Meanwhile, according to Zhong *et al.* (2008) reported that Gram-positive bacteria were 167more susceptible due to barrier ability of outer membrane of the Gram-negative bacteria.

All the treatments containing nano SiO₂ and LMW chitosan mixture showed better 169antibacterial activity than the one with LMW chitosan alone with identical molecular weight 170which can be explained by an interaction of nano-silicon dioxide and chitosan to enhance the 171anti-microorganism ability through improve the properties of films (Dhanasingh *et al.*, 2011) and 172to inhibit disease on agriculture products (Yan *et al.*, 2011). Due to highly porous structure of 173chitosan and nano silica compounds via formation of the Si–O–C bonds and hydrogen (N:H) 174bonds that silica was uniform dispersed and diffused in matrix of chitosan (Yeh *et al.*, 2007; 175Dhanasingh *et al.*, 2011) and lead to tightly compacted making improve the mechanical and 176biological properties of chitosan material (Lai *et al.*, 2006). According to Jeon *et al.* (2001), chitosan with different molecular weights expressed 178potential inhibition on the growth of bacteria differently and partially depend on the degree of 179polymerization, acetylation, bacterial species, etc. LMW chitosan can be modified permeability 180of bacteria film and hinder their metabolism via associated with DNA of bacteria and prevent the 181entry of materials or leakage of cell components to bactericidal due to its penetration into the 182cell bacteria (Sudarshan *et al.*, 1992; Kim and Rajapakse, 2005) results in inhibition ability 183synthesis of mRNA and protein (Hadwiger *et al.*, 1986; Sudarshan *et al.*, 1992; Sebti *et al.*, 1842005) and excellent metal-binding capacities (Helander *et al.*, 2001).

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186*Testing of antifungal activity causing damage postharvest guava of nano* SiO₂ *and low* 187*molecular weight chitosan mixture in in-vitro*

The results of antifungal ability of samples with only LMW chitosan or in combination 189with nano-SiO₂ on *Ch. tropicum*, *C. sphaerospermum*, *A. wentii*, *C. acutatum* are shown in 190Table 2 and Figures 3. All treatments which contain nano-SiO₂ and LMW chitosan mixture 191showed a potential antifungi of *Ch. tropicum*, *C. sphaerospermum*, *A. wentii*, *C. acutatum* and 192the results are significantly distinguished to the control treatment (P < 0,05). Therein, the 193treatment with 0.04% nano SiO₂ and 44.5 kDa chitosan mixture also showed the best antifungal 194ability on *Ch. tropicum*, *C. sphaerospermum*, *C. acutatum* with lowest growth fungi diameter of 1956.32 ± 0.27 mm, 0.00 mm, 0.00 mm, respectively. However, with regard to *A. wentii* the plates 196treated with nano SiO₂ and 44.5 kDa chitosan mixture have diameter growth of fungi (9.34 ± 1970.69 mm) higher negligible than that with 44.5 kDa chitosan (6.65 ± 0.35 mm). These results 198are corresponding to the previous studies which mentioned that LMW chitosan can be inhibited 199anthracnose disease caused by *Colletotrichum* sp (Xiangchun *et al.*, 2012).

200 Most of the treatment with nano SiO₂ and LMW chitosan mixture shown better antifungal 201ability than those with chitosan alone at identical molecule weight due to improve properties of 202films that explained similar to as antibacterial activity. Chitosan has been demonstrated ability 203against several fungi (Stössel and Leuba, 1984; Hirano and Nagao, 1989; Kendra *et al.*, 1989). 204chitosan's antifungal mechanism has been explained from previous studies. On cell surfaces of 205fungi, polycationic polysaccharides interaction with anionic sites leads to altering membrane 206permeability and internal osmotic imbalance (; Leuba and stossel, 1986; Garrido Assis and de 207Britto, 2011), or inhibit mRNA and protein synthesis (Hadwiger and Loschke, 1981; Zhang *et al.*, 2082011), diffuse inside hyphae inhibit on the enzymes activity leads to inhibit the fungus growth 209and act more quickly than on bacteria (Cuero, 1999). Different penetration of chitosan into the 210fungal cell are also reported by Li *et al.* (2011), the LMW chitosan (50 kDa) penetrated very 211easy into cell of *Fulvia fulva*, LMW chitosan 499 kDa penetrated the inner hyphae of *Fulvia fulva* 212but 1320 kDa are not.

213

214Conclusions

The study results in an obvious effect of LMW chitosan in combination with nano SiO₂ on 216anti-microorganisms efficiency that mainly cause decay of postharvest guava fruit. With four 217fungal species, namely *Chrysosporium tropicum*, *Cladosporium sphaerospermum*, *Aspergillus* 218*wentii*, *Colletotrichum acutatum* and three bacterial species, namely *Azotobacter* sp., 219*Escherichia coli*, *Bacillus subtilis* was isolated from damage of guava fruit. In addition, chitosan 220with LMW of 44.5 kDa (1%) in combination with 0.04% nano-SiO₂ shown the best capability to 221inhibit activity of four fungi species, i.e. *Ch. tropicum*, *C. sphaerospermum*, *A. wentii*, *C.* 222*acutatum*, and three bacteria species, i.e. *Azotobacter* sp., *E. coli*, *B. subtilis* on postharvest 223guava.

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Fig 1. Properties of fungal and bacterial species isolated on damage guava fruits: a - *Ch.* 418*tropicum; b* - *C. sphaerospermum; c* - *A. wentii; d* - *C. acutatum;* e - *Azotobacter* sp.; *f* - *E. coli;* 419*g* - *B. subtilis.*



Fig 2. Inhibition ability of 44.5 kDa chitosan and 0.04% nano SiO₂ mixture against *E. coli* (a: 426tested sample; b: control sample), *Azotobacter* sp. (c: tested sample; d: control sample), *B.* 427*subtilis* (e: tested sample; f: control sample) in TSA medium after 24hrs at 32 °C:

а 434 d С 435 e 436

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439Fig 3. Inhibition ability of 44.5 kDa chitosan and 0.04% nano SiO₂ mixture in PDA medium after 4405 days at 32 °C against Ch. tropicum (a: tested sample; b: control sample), A. wentii (c: tested

441sample; d: control sample), after 7 days at 32 °C against *C. sphaerospermum* (e: tested 442sample; f: control sample), *C. acutatum* (g: tested sample; h: control sample).

Entry	Compounds	Antibacterial zone diameter (mm)			
		E. coli	B. subtilis	Azotobacter sp.	
1	109 kDa LMW Chitosan	3.45 ^t ± 0.49	+	$4.27^{\circ} \pm 0.23$	
2	109 kDa LMW Chitosan and 0.04 % Nano SiO₂	$4.80^{ef} \pm 0.28$	1.24 ^e ± 0.12	5.56 ^e ± 0.47	
3	16 kDa LMW Chitosan	9.85° ± 0.36	5.07 ^c ± 0.17	$7.82^{\circ} \pm 0.36$	
4	16 kDa LMW Chitosan and Nano SiO₂	11.61 ^{ab} ± 1.09	5.86 ^b ± 0.16	9.81 ^b ± 0.24	
5	44.5 kDa LMW Chitosan	10.63 ^{bc} ± 0.53	$7.14^{a} \pm 0.18$	9.39 ^b ± 0.49	
6	44.5 kDa LMW Chitosan and Nano SiO ₂	12.86ª ± 0.55	$7.16^{a} \pm 0.23$	11.19ª ± 0.56	
7	80 kDa LMW Chitosan	5.23 ^d ± 0.43	$3.65^{d} \pm 0.43$	6.31 ^{de} ± 0.17	
8	80 kDa LMW Chitosan and Nano SiO ₂	$6.34^{de} \pm 0.50$	$3.97^{d} \pm 0.54$	$6.82^{cd} \pm 0.22$	
9	Control	+	+	+	
10	Nano SiO ₂	+	+	+	

Table 1. Antibacterial activity of nano SiO₂ and LMW chitosan in *in vitro*

445In the same columns followed by the same letter are not significantly different at confidence 446interval 95%; +: not inhibited.

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Entry	Compounds	Diameter of growing fungi (mm)			
Entry		Ch. tropicum	C. Sphaerospermum	A. wentii	C. acutatum
1	109 kDa LMW Chitosan	16.76 ^d ± 0.79	6.88 ^b ± 0.58	14.64 ^{ef} ± 0.39	14.31 ^e ± 1.04
2	109 kDa LMW Chitosan and 0.04% Nano SiO₂	14.47 ^c ± 0.87	++	13.57 ^{de} ± 0.43	13.05 ^{de} ± 0.27
3	16 kDa LMW Chitosan	$12.56^{b} \pm 0.70$	++	10.93 ^{bc} ± 0.82	8.22 ^c ± 0.68
4	16 kDa LMW Chitosan and 0.04% Nano SiO ₂	7.24ª ± 0.79	++	$12.09^{cd} \pm 0.57$	5.42 ^b ± 1.05
5	44.5 kDa LMW Chitosan	$8.04^{a} \pm 0.61$	++	6.65ª ± 0.35	++
6	44.5 kDa LMW Chitosan and 0.04% Nano SiO ₂	6.32ª ± 0.27	++	9.34 ^b ± 0.69	++
7	80 kDa LMW Chitosan	16.05 ^{cd} ± 0.71	++	16.57 ^{fg} ± 0.39	11,76 ^d ±0,55
8	80 kDa LMW Chitosan and 0.04% Nano SiO ₂	14.56° ± 0.46	++	18.36 ^g ± 1.05	11,18 ^d ±0,54
9	Control	$80.00^{\rm e} \pm 0.00$	29.17° ± 1.76	29.87 ^h ± 1.45	18.44 ^f ± 1.18
10	0.04% Nano SiO ₂	80.00 ^e ± 0.00	29.30° ± 1.27	$30.28^{h} \pm 0.95$	18.13 ^f ± 0.83

457**Table 2**. Antifungal activity of nano SiO₂ and LMW chitosan in *in-vitro*

458In the same columns followed by the same letter are not significantly different at confidence

459interval 95%; ++: completely inhibited.